

04-13-01

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****CERTIFICATE OF EXPRESS MAILING**

Attorney Docket No.: SUN1P706

First Named Inventor: Hardy

I hereby certify that this paper and the documents and/or fees referred to as  
 enclosed therein are being deposited with the United States Postal Service  
 on April 11, 2001 in an envelope as "Express Mail Post Office to  
 Adresssee" service under 37 CFR §1.10, Mailing Label Number  
**EL580402110US**, addressed to the Commissioner for Patents, Washington,  
 DC 20231.

Aurelia Sanchez

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**  
 (Regular application claiming priority of a provisional)

Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

For: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
**Vincent J. Hardy**

For: **USER CONTROLLED DOCUMENT CONVERTER AND METHODS THEREOF**

Assigned to: Sun Microsystems, Inc.

Priority of U.S. Provisional Application No. 60/197,309 filed on 4/14/00 and U.S. Provisional  
 Application No. 60/197,540 filed on 4/14/00 is claimed under 35 U.S.C. § 119(e).

**Application Elements:**

- ☒ **19** Pages of Specification, Claims and Abstract  
☒ **7** Sheets of formal Drawings  
☒ Combined Declaration and Power of Attorney  
☐ Separate Declaration

**Accompanying Application Parts:**

- ☐ Do not publish this application. Nonpublication Request is attached.  
☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)  
☐ Separate Power of Attorney by Assignee  
☐ 37 CFR 3.73(b) Statement by Assignee  
☐ Information Disclosure Statement with Form PTO-1449  
☐ Copies of IDS Citations  
☐ Preliminary Amendment  
☒ Return Receipt Postcard

Fee Calculation (37 CFR § 1.16)

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Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

	(Col. 1) Total Claims		(Col. 2) Claims	(Col. 3) Present Extra	Rate	Additional Fee
TOTAL	10	MINUS	20	= 0	x 18	0
INDEP.	3	MINUS	3	= 0	x 80	0
[ ] First presentation of multiple dependent claim					\$270	0
Basic Filing Fee under 37 C.F.R. §1.16(a)					\$710	710.00
TOTAL						710.00
SMALL ENTITY 50% FILING FEE REDUCTION (if applicable)						N/A

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Check No. 10909 in the amount of \$750.00 is enclosed.

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The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. SUN1P706).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

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Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. SUN1P706).


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Date: April 11, 2001

  
**Michael J. Ferrazano**  
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